Setting Standards for Sustainable Development

Environmental and Social Framework Implementation

IMF/World Bank Annual Meetings
Civil Society Policy Forum

October 7, 2016
Summary of Consultations

PHASE 1:  
(Jul 2012 – Jul 2014)  
• Principles, Objectives, and Format of a New Generation of Safeguard Policies

PHASE 2:  
(Jul 2014 – Jun 2015)  
• Proposed Standards

PHASE 3:  
(Jul 2015 – Aug 2016)  
• Implementability and Unresolved Issues

Number of Participants by Consultation Phase

<table>
<thead>
<tr>
<th>Consultation Phase</th>
<th>Number of Participants</th>
</tr>
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<tr>
<td>Phase 1</td>
<td>2052</td>
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<tr>
<td>Phase 2</td>
<td>2835</td>
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<tr>
<td>Phase 3</td>
<td>2970</td>
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Total: 7,857 participants (Phases 1-3)

Number of Meetings by Consultation Phase

Total: 268 meetings (Phases 1-3)

Number of Countries Where Consultations Were Held by Consultation Phase

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<tr>
<th>Consultation Phase</th>
<th>Number of Consultation Countries</th>
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<tr>
<td>Phase 1</td>
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<td>Phase 2</td>
<td>40</td>
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<tr>
<td>Phase 3</td>
<td>31</td>
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Total: 63 unique countries where consultations were held (Phases 1-3)
World Bank Board approves the new ESF

On August 4, 2016, the World Bank’s Board of Executive Directors approved a new Environmental and Social Framework that expands protections for people and the environment in Bank-financed investment projects. The safeguards review included the most extensive consultation ever conducted by the World Bank. It concludes nearly four years of analysis and engagement around the world with governments, development experts, and civil society groups, reaching nearly 8,000 stakeholders in 63 countries. The framework is part of a far-reaching effort by the World Bank Group to improve development outcomes and streamline its work.
Framework Architecture

A Vision for Sustainable Development

World Bank Responsibilities

- Environmental and Social Policy
- Environmental and Social Procedure
- Bank Directive
- Implementation Guidance, Information Management Systems

Borrower Responsibilities

- Legal agreement and Commitment Plan
- Environmental and Social Standards 1-10
- Environmental, Health, and Safety Guidelines (EHSG)
- Guidance, Case Studies, Tools, etc.

Review and due diligence

Assessment and implementation

Mandatory and subject to Inspection Panel

Aspirational

Not mandatory
ESF implementation – General Approach

**ESF Implementation - Activities**

**BORROWERS**
- Awareness Raising & Training
- Project Implementation Support
- Borrower Framework Support
- Regional Capacity Building Programs
- Guidance Materials
- Knowledge Resources / Public Sector Portal for E&S Risk Management

**BANK**
- Change Management
- Guidance Notes / Guidance Materials
- Internal Learning Program
- Strengthen Bank E&S Risk Management and related IT Systems/Tools
- Establish Professional E&S Accreditation System
- Strengthen Professional Development and Set-up Operations, Environmental and Social Review Committee

**PARTNERS**
- Strengthen Partnerships with Borrowers
- Strengthen Partnerships with other Development Partners e.g MDBs, UN Agencies, Private Sector, etc.
- Strengthen Partnerships with CSOs, Trade Associations, Subject Experts to support Borrower Programs

**Project Management**
- Transition Planning
- Monitoring & Evaluation
- Risk Management
- Internal and External Communications
Borrower Capacity Strengthening – Starting Point

- Sustained interventions, targeting different agencies over time
- Range of capacity building options to respond to specific Borrower needs
- Multi-donor approaches shown to be most effective

- Short-term ESF training in country
- Project-level support
- Country-level Support
- Regional Programs in cooperation with other MDBs / donors

Knowledge Resources
Interpreting ESF requirements: Knowledge Resources

Building on existing resources within the WBG, other MDBs and Global Communities of Practice

• ESF Guidance Notes: Inclusive approach
• Other Guidance Materials:
   best practice methodologies, tools for interpreting ESF requirements, source books, case studies
   thematic, sectoral and geography-based guidance on specific issues
     E.g. interpreting ESS2 in the context of the Indian sub-continent
   templates, procedures and processes (e.g. ES Commitment Plan, ES Management System for FIs)
Internal Bank-related Implementation Activities

- Change Management
- Moving teams towards an integrated Environmental and Social Management System
- Training for Environmental and Social Specialists
- Professional E&S Accreditation System
- Tailored Training for other WB staff groups as appropriate
- Strengthen Professional Development and Talent Management
Opportunities for stakeholder engagement

Knowledge resources
• Subject matter experts will help develop and review guidance materials
• Draft materials published on website, some will be discussed in workshops

Information activities
• ESF information and awareness raising for strategic partners
• Information sessions for consultants, academics and CSOs directly supporting Borrowers in implementing ESS requirements (e.g. contractors, advisors, agents conducting M&E).

Long-term strategic partnerships
• Structured dialogue with:
  ❖ Borrowers
  ❖ MDBs, other donors and CSOs to support Borrower programs
Indicative Road Map for ESF Implementation

- **Preparation**
  - 2016-2018
  - Q4/16: Staffing, Guidance/Training materials
  - Q1/17: Environmental and Social Review
  - Q2/17: Begin E-learning roll-out, translate and publish guidance notes on a rolling basis
  - Q3-4/17: Set up of Operations Committee

- **Launch**
  - 2018
  - Q1-2/18: Bank and Borrower training, staff accreditation
  - Q2-3/18: Accelerated capacity building

- **Embedding**
  - 2018-2020
  - Continued capacity building and roll out of regional programs
  - Ongoing support to borrowers

- **New Steady State**
  - 2019-2020
  - ESF is “business as usual”

- **2021 forward**
  - 2023
  - Continue capacity building and borrower framework analysis
  - Review implementation outcomes

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Operations Policy & Country Services
Thank You