Review and Update of the World Bank's Safeguard Policies:

Update

Annual Meetings
October 12, 2013

OPCS, SDN, LEG
About This Update

An update covering where we are and an opportunity for clarifications, questions and answers:

• Moving forward: Recent trends and Evolving ideas concerning development of a proposed integrated framework

• The Next Step: Return to CODE in early 2014 with a full proposal for consideration, followed by the start of Phase Two consultations
At a Glance: Frequency of Issues Mentioned in the Consultation Process

All government feedback

All civil society feedback

Feedback from multi-stakeholder meetings
Moving Forward:
Recent trends in other MDBs
Emerging thinking for a framework
## Recent Trends / Evolution of MDB Safeguard Models

<table>
<thead>
<tr>
<th></th>
<th>Framework approach (with overarching statement) applicable to all lending instruments</th>
<th>Distinction between aspirational statement, mandatory policy, procedure and guidance</th>
<th>Differential treatment of financing instruments</th>
<th>One set of safeguard requirements applied to public and private sectors</th>
<th>Enhanced clarity between lender &amp; borrower responsibilities</th>
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<tbody>
<tr>
<td>ADB (2009)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
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<td>AfDB (Draft of May 2013)</td>
<td>[Yes]</td>
<td>[Yes]</td>
<td>[Yes]</td>
<td>[Yes]</td>
<td>[Yes]</td>
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<td>EBRD (2008)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
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<td>IFC (2006/2012)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>N/A</td>
<td>Yes</td>
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Proposed Integrated Framework

World Bank

- Environmental and Social Sustainability Statement

Borrower Country

- Environmental and Social Sustainability Strategies/Priorities

COUNTRY ACTION PLAN

Borrower Institutions, Systems and Practices

- Bank Sustainability Requirements
  - Sustainability Policy
  - Risk Management Procedures (Public/private)

- Borrower Sustainability Requirements
  - Public Sector Elements
  - Private Sector Elements

- Implementation Guidance Information & Management Systems

- Guidance Notes, Case Studies and Tools

KNOWLEDGE EXCHANGE AND CAPACITY BUILDING

PROJECT ACTION PLAN

- Review
- Assessment

Mandatory elements of the Integrated Framework
Non-mandatory elements of the Integrated Framework
## Next Steps

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<tr>
<th>August - December 2013</th>
<th>Early 2014</th>
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<tr>
<td>• On-going external meetings: focus groups with project affected communities, targeted dialogues with Indigenous Peoples</td>
<td>• Present the first draft of the proposed Integrated Framework to CODE for review and endorsement</td>
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<td>• Full feedback analysis available online</td>
<td>• Second round of global consultations in 2014</td>
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<td>• Drafting the proposed integrated framework</td>
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<td>• Internal consultations with Regions, Networks, Sector Boards/Councils, and other bodies</td>
<td>• The draft will include an annex summarizing views received during consultations and responses</td>
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TO STAY INFORMED

www.worldbank.org/safeguardsconsultations

or send us an email:

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