



**THE WORLD BANK**

IBRD • IDA | WORLD BANK GROUP

Operations Policy & Country Services

# Setting Standards for Sustainable Development

## Update and Review of the World Bank's Safeguard Policies

### 3<sup>rd</sup> Consultation Phase – Report Out

Spring Meetings  
April 15, 2016

# Content

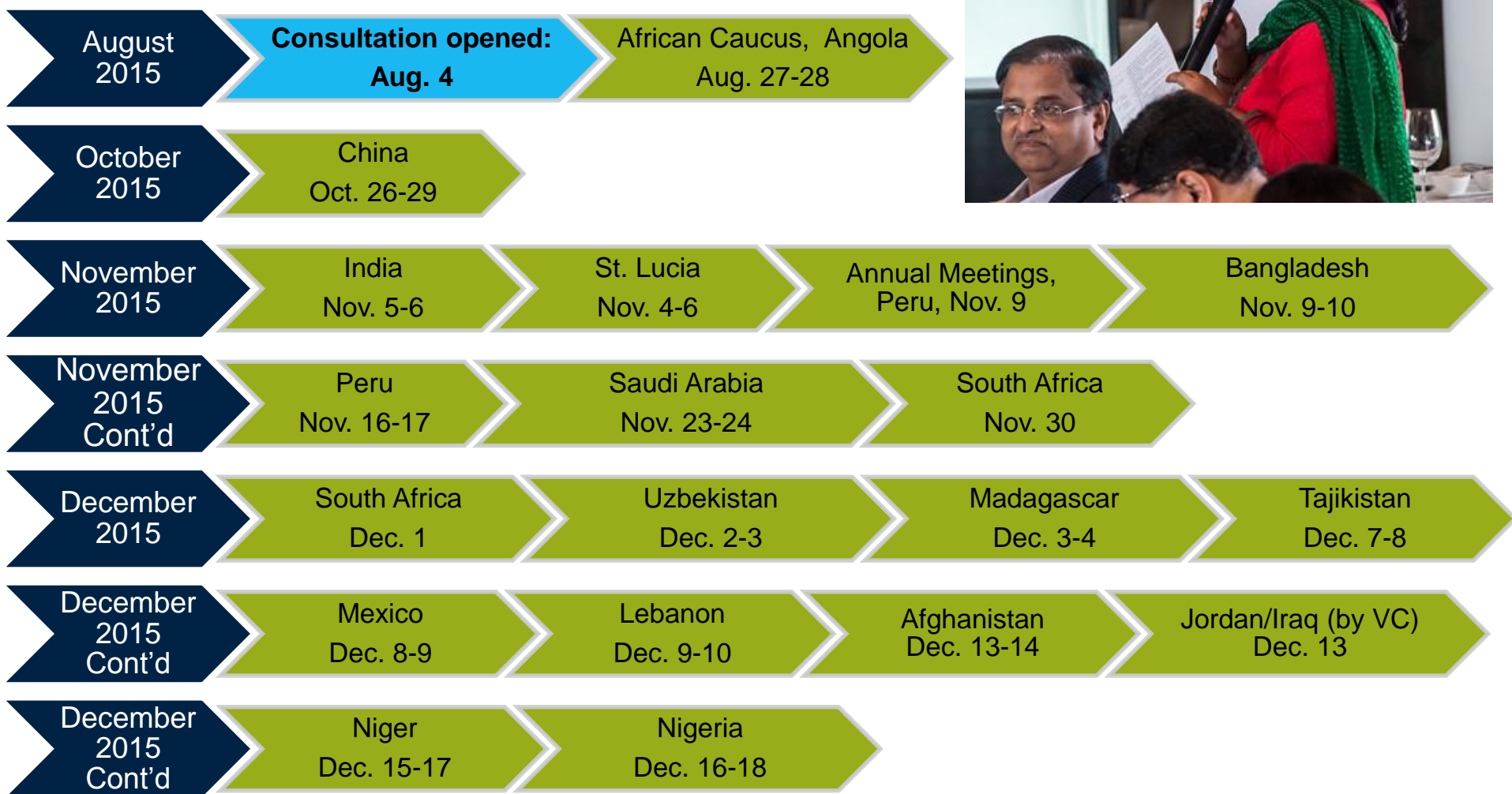
- **Consultation: Scope and Timeline**
- **Meetings: Teams, Format, Content**
- **Consultation: Facts and Figures**
- **Key Messages from Consultations**
- **Next Steps**
- **Looking Ahead**



# Outstanding Issues for Consultation

- List of 52 [issues](#) identified by Management and Board for further discussion, including:
  - Approach to Human Rights
  - Labor (freedom of association, collective bargaining, etc.)
  - Climate change and GHG emissions
  - Implementation of Indigenous Peoples standard in complex political and cultural contexts and where national constitutions do not recognize Indigenous Peoples
  - Criteria for establishing and implementation of Free, Prior and Informed Consent (FPIC)
  - Specific aspects of non-discrimination principle (list of vulnerable groups, application in complex social and political environments)
  - Use of borrower frameworks
  - Aspects of adaptive risk management (timing of actions and disclosure of documents)
  - Treatment and rights of informal occupants
  - Client capacity building and implementation support

# Consultation Timeline



# Consultation Timeline



# Special Events/ Expert Focus Groups

- **Labor:** USA, September 18
- **Environmental and Social Integrity:** USA, September 22
- **Non-Discrimination** (disability and gender): Japan, February 4
- **Indigenous Peoples:** Ethiopia, February 11-12
- **Financial Intermediaries:** Brazil, March 2
- **Religious Concerns and Considerations:** USA, March 8

# Consultation Facts and Figures



223 days = 7.5 months (August 4, 2015 – March 15, 2016)

72 meetings in 31 countries

2,911 participants from 93 countries

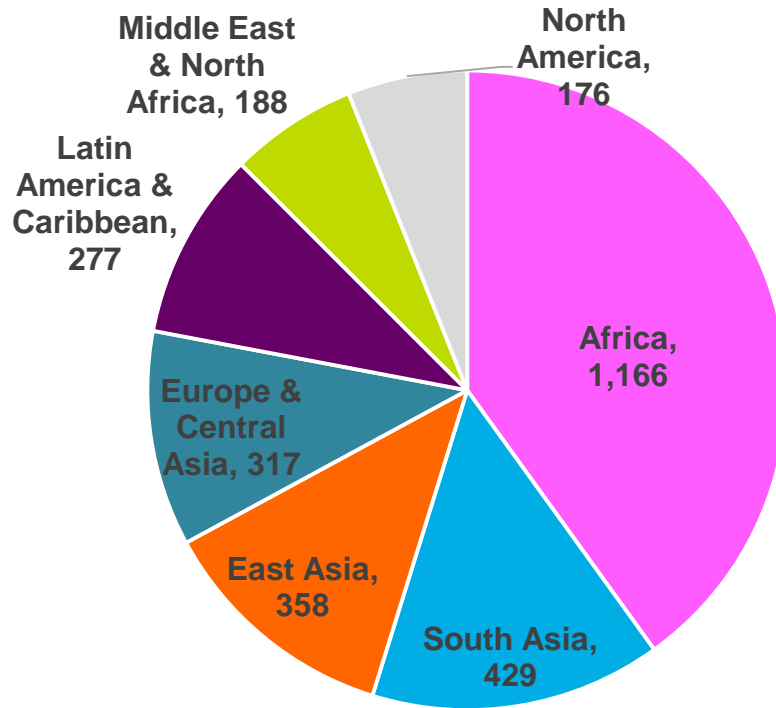
64 consultation meetings with governments and other stakeholders in countries, 6 expert focus groups, 3 online consultations

307 Bank staff working on preparing and running consultations

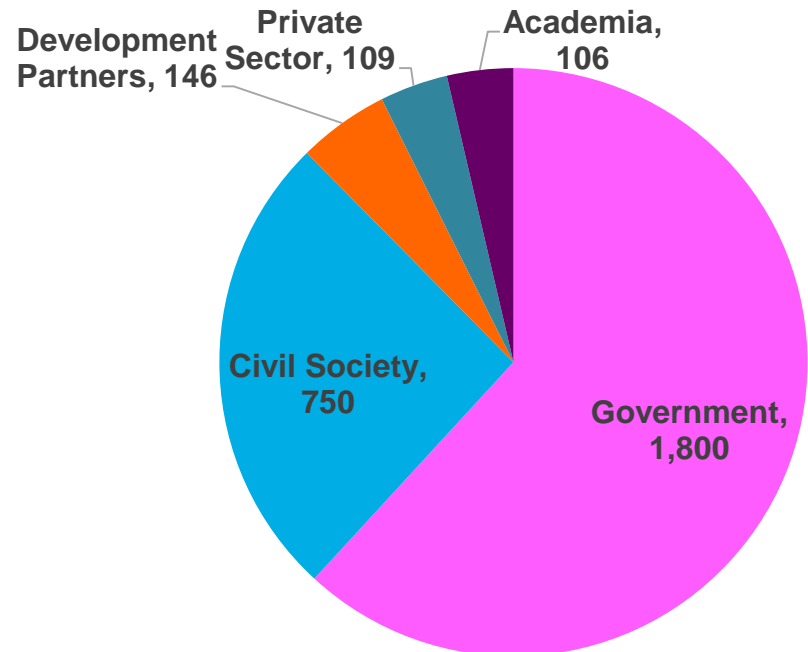
Four CODE Seminars (Dec 1/15, Jan 19/16, Mar 16/16 and Apr 4/16)

Informal CODE Working Groups Convened by CODE Chair and Vice-Chair

# Consultation Facts and Figures



Participants by Region

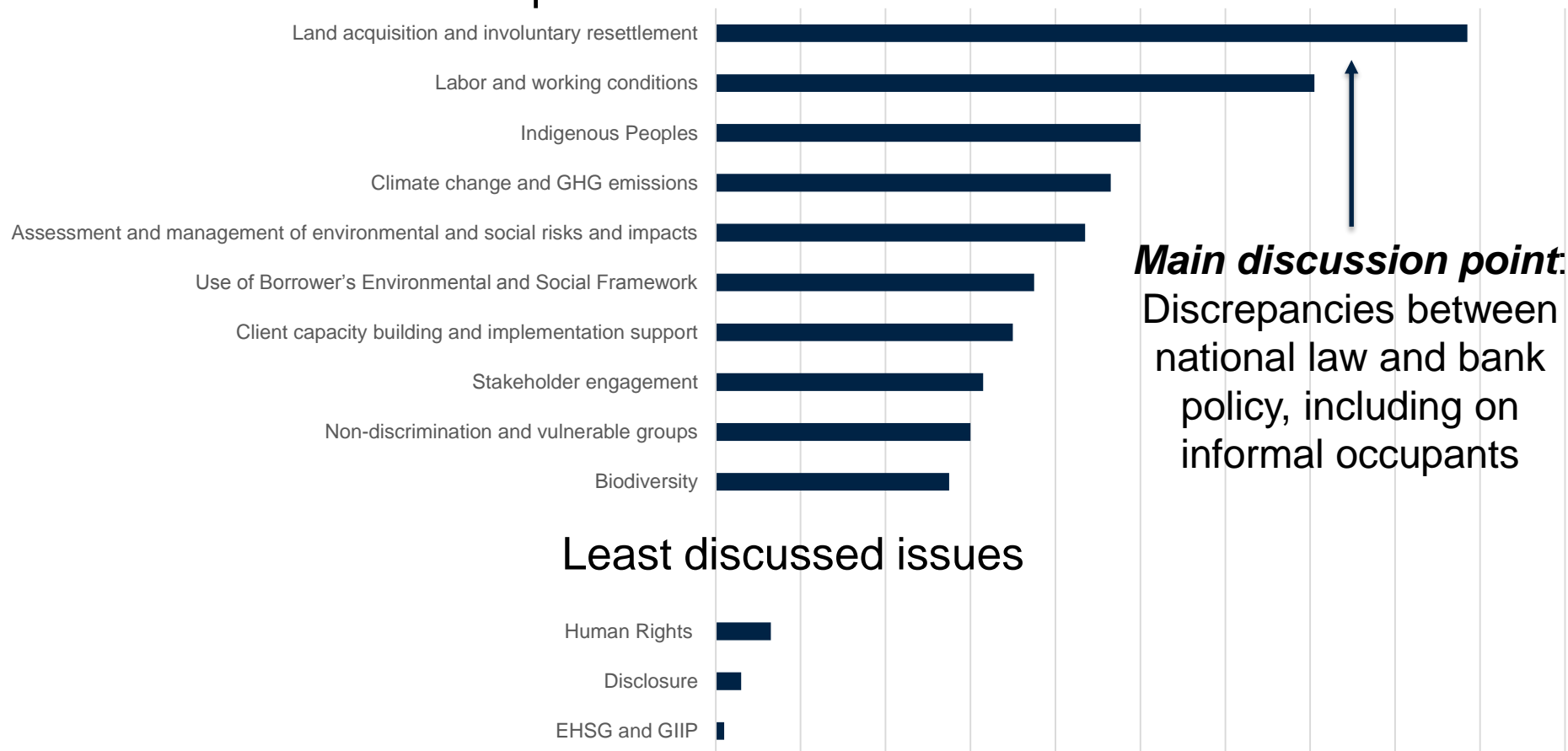


Participants by Group



# Consultation Meetings With Borrowing Governments\*

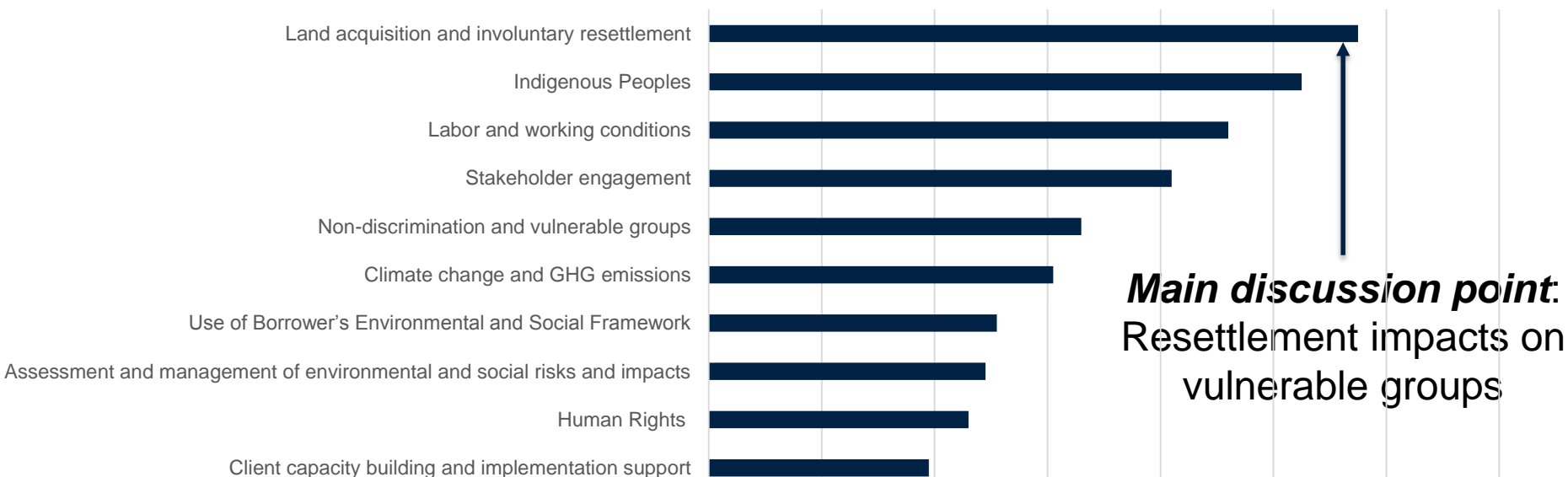
## Top 10: Most discussed issues\*\*



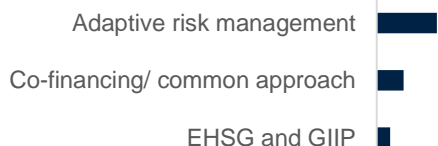
## Least discussed issues

# Multi-Stakeholder Meetings\* In Borrowing Countries

## Top 10: Most discussed issues\*\*



## Least discussed issues

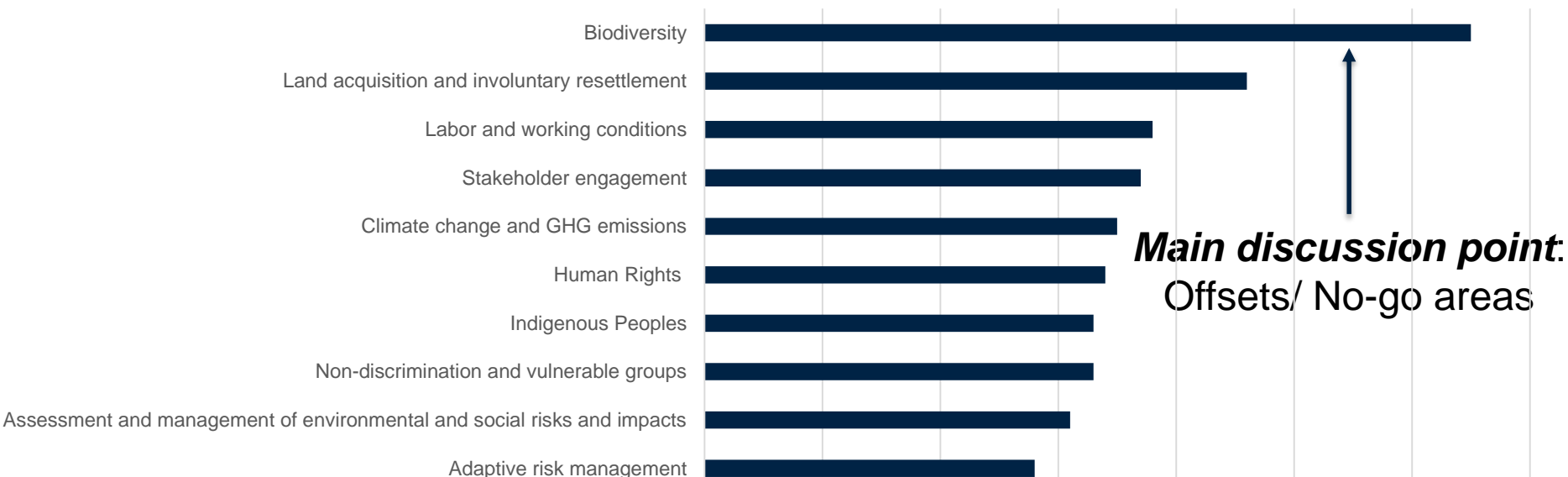


*\*Includes CSOs, Think Tanks, academia etc., for Lebanon, this also includes government*

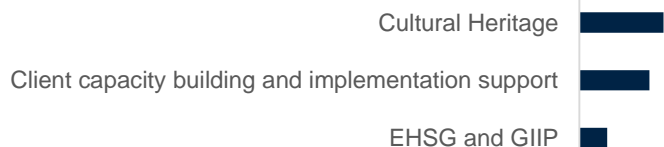
*\*\* Based on number of comments recorded in consultation meetings. Volume is representative of emphasis of discussions.*

# Consultation Meetings in Donor Countries\*

## Top 10: Most discussed issues\*\*



## Least discussed issues



*\*Includes Government, development partners, CSOs, Think Tanks, academia etc.*

*\*\* Based on number of comments recorded in consultation meetings. Volume is representative of emphasis of discussions.*

# Key Messages: Expert Focus Groups

## Labor and Working Conditions (Sep. 18, USA)

- **Concerns** that some provisions of the draft ESS 2 are inferior to the labor safeguards of other MDBs that have **referenced the ILO standards** and that this will create confusion instead of harmonization.
- **Discrepancy between existing procurement of works standards and the draft ESS 2.**
- **Workers' organizations** should be treated like any other stakeholder under ESS 10.
- **Bank should establish an international labor advisory group for implementation of ESS 2**

## Ensuring Environmental and Social Integrity in Development Outcomes (Sep. 22, USA)

- **The Bank has to strike a balance** between aspirational elements in its vision statement and provisions operable under a multilateral framework.
- **In the policy, the approach is “prevent, minimize, mitigate, and compensate;” but adapt should also be included.**
- **An outcome-based approach and adaptive risk management must have some degree of assessment to allow for modification.**
- **In addressing adaptive management and planning, the challenge is balancing outcomes vs. process**

# Key Messages: Expert Focus Groups

## Non-discrimination (Feb. 4, Japan)

- Need for **in-depth social assessment with disaggregation of data on disability**
- **Strengthening of monitoring and evaluation mechanisms with specific indicators** for gender and disabilities is critical to the implementation of the non-discrimination principle.
- The Bank should **consult with disabled communities from the earliest stages of project preparation** to inform the project design. Gender consideration need be factored into project design.
- **Capacity building for the borrower should be promoted at all levels**, including policy makers and project implementers on gender and disability.

## Indigenous Peoples (Feb. 11-12, Ethiopia)

- Suggestion for title of ESS7: participants suggested that one possible approach to reflecting the African context could be to modify the title to read: ***“Indigenous Peoples and Historically Underserved Traditional Local Communities”*** and modify the text of ESS7 to reflect this title.
- Participants suggested to clarify that **FPIC should be operationalized** in the same manner as **free prior and informed consultation leading to broad community support**
- Participants suggested to **add the following elements in establishing FPIC**: (i) the mutually accepted process between the Borrower and Indigenous Peoples and Historically Underserved Traditional Local Communities, which **could include nationally accepted processes** for engagement; and (ii) **outcomes from meaningful consultations**, which **may include formal or informal agreements as well as dissenting views**

# Key Messages: Expert Focus Groups

## Financial Intermediaries (FI) (March 2, Brazil)

- Clarity needed on what type of operations the FI standard (ESS9) would apply to, and to what extent co-financing using WB funds would dictate **standards at the subproject level**.
- Concerns about **managing differences** in requirements with IFC, other MDBs, and the Equator Principles framework.
- Requirements in ESS9 for **stakeholder engagement** conducted by FI are challenging to implement and should be revisited.

## Religious Concerns and Considerations (March 8, USA)

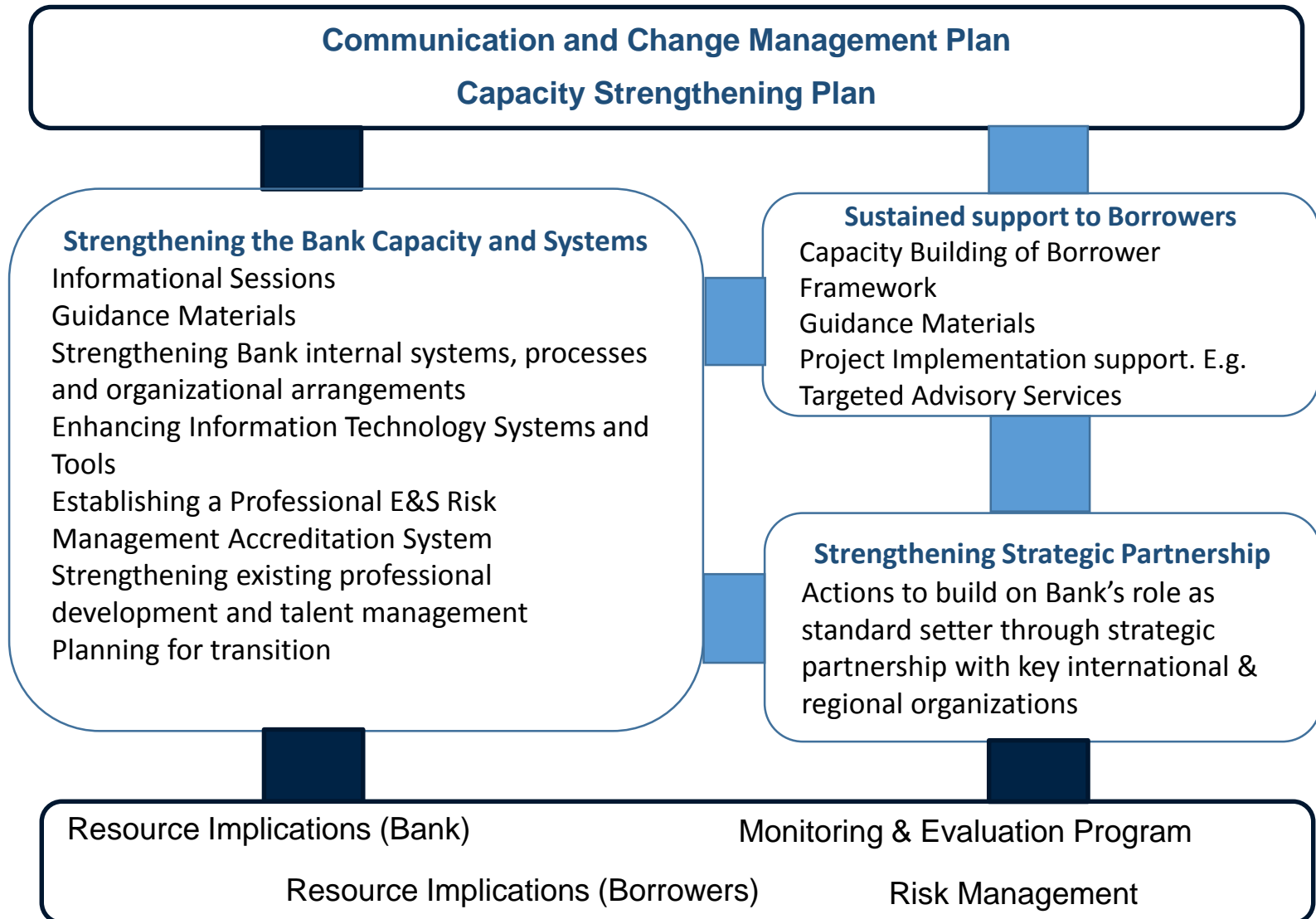
- Religious groups (especially religious minorities) should be **accurately mapped** in Social Impact Assessments.
- ESF should address situations where **discrimination may be based on religion**.
- ESF should address discrimination against different **castes**.
- Need for **ongoing assessment** of monitoring and evaluation of non-discrimination on religion.
- Need for **linking religion to country strategy, sector analysis and policy lending**.

# Next Steps

- Support informal CODE Working Groups
- Analyze Working Groups' views to inform third draft ESF
- Analyze all consultation feedback to inform third draft ESF
- Prepare third draft



# Looking Ahead







## Review and Update of the World Bank Safeguard Policies

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### Environmental and Social Framework

### Consultations on the Second Draft Framework Concluded

Consultations on the second draft Environmental and Social Framework concluded on March 15, 2016. Since the review process began in 2012, the World Bank team has consulted with a wide range of stakeholders from governments and implementing agencies, civil society organizations involved in advocacy and service delivery, the private sector, as well as academia and applied research institutions. The comments and recommendations received during this consultation phase will inform the final draft framework proposal. A

summary of all feedback received will be made available on this website in the coming months.

More information available at:

<http://consultations.worldbank.org/consultation/review-and-update-world-bank-safeguard-policies>