

Annex H – Comparison between BP11.00 and New Procurement Framework

BP11.00 Reference	BP11.00 Subject	New Framework Section	New Framework Reference	New Framework Subject	Comments
1.0 to 7.0	Procurement: <i>General preamble, General Responsibilities, Applicability etc.</i>	Directive	Section I. Section III – A., B., & C.	Purpose & Application. Procurement Assessment. Procurement Rules and Procedures Applicable to the Borrower. Monitoring of Procurement-related Compliance and Implementation Support.	Types of procurement covered and the Bank's support to capacity building. Procurement assessment during project preparation, risk assessment, selecting the right procurement arrangements, Bank implementation support and monitoring of the project. Procurement rules and procedures applicable to the Borrower. Pursuant to paragraph 21 of OP 10.00, the Bank carries out its procurement-related implementation support and monitoring through a risk-based approach, adjusted, as appropriate under project-related circumstances, including through the use of prior and post reviews, as set out in the Procurement Plan.
8.0 to 29.0	Procurement Activities and Responsibilities throughout the Project Lifecycle: <i>Overview of Project Identification, Project Preparation, Appraisal and Negotiation, Project Implementation,</i>	Directive	Section III – C. & E.	Monitoring of Procurement-related Compliance and Implementation Support. Roles, Responsibilities and Accountabilities.	Procurement-related implementation support and monitoring. Provision of hands-on expanded procurement support, use of prior review and/or post review. Roles, responsibilities and accountabilities of Bank staff, OPCS (Operations Policy and Country Services); GGP (Governance Global Practice) and TTLs (Task Team Leaders).

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	<i>Project Evaluation.</i>				Note: Directive, under Section III_ B gives an overview of the rules and procedures applicable to the Borrower.
31.0 to 36.0	Misprocurement	Policy Directive Procedure Regulations	Section III - D.5. Non-compliance Section III Section III – 3.29 – 3.30	Governance, Non-compliance. Non-compliance. Non-compliance.	If the Borrower or other parties involved do not comply with the applicable procurement requirements, the Bank may, in addition to the legal remedies set out in the relevant legal agreement, take other appropriate actions. To be developed. More detail on the provisions for cases of non-compliance.
37.0 & 38.0	Complaints or Questions in Procurement	Policy Directive	Section III - D.4. Section III – G.	Complaints and contract related communications. Procurement-Related Complaints.	Procurement complaints may be brought at any stage of the procurement process to the attention of the Borrower or the Bank, and they may make every effort to address them objectively and in a timely manner, with transparency and fairness. Stakeholders will inform the Bank of any contractual dispute. If a dispute over the breach of a governmental contract arises, the Bank's interest in having the project/program completed promptly and satisfactorily calls for prompt and equitable settlement of the dispute. The Bank may assist in facilitating this result, usually

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		<p>Procedure</p> <p>Regulations</p>	<p>Annex V - Procurement-Related Complaints.</p> <p>Annex III.</p>	<p>Procurement-Related Complaints.</p> <p>Procurement-Related Complaints.</p>	<p>through the normal process of implementation support and monitoring</p> <p>Business standards (response times) will be set for Borrowers, bidders and the Bank for procurement-related complaints:</p> <ul style="list-style-type: none"> • In the Bank’s standard selection documents, a standstill period of 10 calendar days will be introduced after all bidders are notified of the intention to award, so that there is time for any bidder to raise procurement-related complaints and request a debrief by the Borrower before a contract is formalized; • Debriefs to bidders must be undertaken during the standstill period (which can be extended accordingly as needed); • Procurement-related complaints will be centrally monitored, tracked, and expedited, and progress will be reported by the Bank; <p>If complaints are not dealt with in a timely and adequate manner, this could constitute grounds for the declaration of misprocurement by the Bank;</p> <p>More detail for Bank staff on complaints monitoring by them, role of central team etc.</p>

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					More detail for Borrowers on responding to procurement-related complaints, business standards etc.
39.0 to 44.0	Fraud and Corruption	Policy Procedure Regulations	Section III - C.3. Fraud and Corruption Section III – H, and Annex IV	Core Procurement Principles, Integrity. Fraud and Corruption. Fraud and Corruption.	Requires highest standard of ethics for all involved, to refrain from fraud and corruption as defined in the Anti-Corruption Guidelines. Refers to the Bank Procedure “Working Arrangements among the Global Practices, the Regions, INT and OPCS Concerning Fraud and Corruption.” The World Bank requires compliance with the Anti-Corruption Guidelines in regard to fraud and corruption. For further information see Fraud and Corruption Annex IV.
45.0 to 46.0	Country Procurement Work	Directive	Section III – H.	Support to Borrowers in Procurement Capacity Building.	Details that the Bank will assess the key aspects of the country situation to inform a PPSD. On a country sector level, the Bank may support procurement policy, institutional, and regulatory reforms and capacity building efforts through assessments, technical assistance, and advisory services.
47.0	Use of Country Systems (UCS) under the Bank Piloting Program	Policy	Section III – F.	Alternative Procurement Arrangements (APA).	Use of country systems is no longer applicable and has been deleted. The Bank’s approach to using Alternative Procurement Arrangements (APA) is outlined, any of rules and procedures of

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		Directive	Section III – D.	Alternative Procurement Arrangements.	<p>another multilateral or bilateral agency or organization, and, may rely on and apply the procurement rules and procedures of an agency or entity of Borrower.</p> <p>All APAs must be consistent with the Core Principles and governance of the Procurement Policy; sanctions procedures, anti-corruption guidelines and contractual remedies.</p>
		Procedure/APA Guidance Note	Annex J – Sub Annex F	Alternative Procurement Arrangements. World Bank Regulations.	<p>Justification for use of APA is identified and assessed as early as possible during project preparation and described in relevant project documents. Terms and conditions of the APA are set out in the legal agreement. If the terms of the APA are not complied with, the Bank has the right to revoke or modify its agreement allowing the use of the APA. More detailed instructions on the APA process for Bank staff.</p>
		Regulations	Section II – B.	Alternative Procurement Arrangements.	<p>Explanation to Borrowers on the acceptability of an APA to the Bank.</p>
48.0	Resolution of Disagreements	Not applicable	Not applicable.	Not applicable.	<p>Removed, now regulated under the ADM framework. The Recommender is responsible for resolving substantive disagreements with advice received. If the disagreement is: (a) material to the proposal; and (b) cannot be resolved at that level, the Recommender discusses the matter with the Concurrer. If there is no Concurrer, or if the Concurrer is unable to</p>

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					resolve the matter, the Recommender initiates a discussion with the Decider. The Decider either resolves the matter or escalates it further up the management chain.
49.0	Policy Deviations	Policy Directive and Procedure	Section IV. Section III.E of the Directive and Section III of the Procedure.	Policy Waiver. Roles and Responsibilities to approve exceptions and waivers.	Bank's Operational Policy Waiver.
50.0	Business standards	Procedure	Procedure	Business standards.	To be developed, linked to introduction of the STEP tracking tool for Procurement.
Annex A	General Responsibilities and Accountabilities for Procurement Work	Directive Procedure	Section III – E. Procedure, Annex I.	Roles, Responsibilities and Accountabilities. Accountability and Decision Making framework.	Specific roles and responsibilities within the Bank will be outlined following CODE and AC endorsement and if agreed, subsequent Board approval.
Annex B	Decision Authority Matrix	Procedure	Procedure	Accountability and Decision Making framework	This will be incorporated into the procedure on accountability & decision making.
Annex C	Maximum Prior Review Thresholds	Procedure	Procedure, Annexes II and III.	Prior Review Thresholds.	Details maximum and minimum thresholds for prior review and thresholds for use of international competitive procurement.
Annex D	Mandatory Prior Review thresholds for RPMs (Regional	Procedure	Procedure, Annexes II, III and VIII.	Prior Review Thresholds.	Details the working arrangements and thresholds for review of the most

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	Procurement Managers) and the OPRC (Operations Procurement Review Committee)				significant procurements at the Operations Procurement Review Committee (OPRC).
Annex E	Handling of Procurement Complaints and Contract related communications.	Procedure	Procedure, Annex V.	Procurement-related complaints and contract related communications	More detail for Bank staff on complaints monitoring by them, role of central team etc.

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