

Review & Update of World Bank's Environmental & Social Safeguard Policies Phase 3 Consultation Meetings

Second Lagos Urban Transport Project



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Environmental & Social Safeguard Policies
Phase 3 Consultation Meetings
Dec. 2015

**“Road Testing” the new ESSF--Project Case Studies:
what changes, what does not?**

- 1. What are the new requirements if any?**
- 2. What requirements are dropped, if any?**
- 3. Change in effort or expertise required?**
- 4. Added Value?**

Project objectives: (a) improve mobility prioritized corridors, and (b) promote a shift to more environmentally sustainable urban transport modes.

Project description:

Component 1: Institutional Development & Capacity Building, including construction of building to house Lagos Metropolitan Area Transport Authority (LAMATA) headquarters

Component 2: Improvement of Public Transport Infrastructure and Enhancement of Traffic

Management Systems: construction of Bus Rapid Transit (BRT) infrastructure on existing six lane road, including interchange and traffic management at Oshodi-Mile 2-Obalende; investments in intelligent transport systems (ITS) and improvements in safety and security; pedestrian facilities; mass transit alternative analysis studies along major corridors; and development of bus feeder system including stations and terminals

Component 3: Improvement of Lagos State Metropolitan Road Network road maintenance; rehabilitation of about 7 km of structurally damaged strategic roads

Component 4: Project Management & Systems Monitoring

Project restructured during implementation: to revise indicators, and allocate unallocated amount.

From Safeguards to E&S Standards



| SAFEGUARDS POLICY | |
|--|---|
| 4.01 ENVIRONMENTAL ASSESSMENT | ✓ |
| 4.04 NATURAL HABITATS | |
| 4.36 FORESTS | |
| 4.09 PEST MANAGEMENT | |
| 4.11 PHYSICAL CULTURAL RESOURCES | ✓ |
| 4.37 SAFETY OF DAMS | |
| 7.50 PROJECTS ON INTERNATIONAL WATERWAYS | |
| 7.60 PROJECTS IN DISPUTED AREAS | |
| 4.12 INVOLUNTARY RESETTLEMENT | ✓ |
| 4.10 INDIGENOUS PEOPLES | |

CATEGORY B

↓

SUBSTANTIAL (MODERATE?) RISK

| ESS STANDARD | Specific Applicability to Second Lagos Urban Transport Project |
|--|--|
| ESS1: Assessment and Management of ESS Risks and Impacts | ✓ |
| ESS2: Labor and working conditions | ✓ |
| ESS3: Resource Efficiency and Pollution Prevention | ✓ |
| ESS4: Community Health and Safety | ✓ |
| ESS5: Land Acquisition, Restrictions on Land Use and Involuntary Resettlement | ✓ |
| ESS6: Biodiversity Conservation and Sustainable Management of Living Natural Resources | |
| ESS7: Indigenous Peoples | |
| ESS8: Cultural Heritage | ✓ |
| ESS9: Financial Intermediaries | |
| ESS10: Information Disclosure and Stakeholder Engagement | ✓ |
| | |

Implementation of Safeguard Policies (actual)

OP 4.01:

- Category B
- Project focus is transport services rather than road construction. OP 4.01 triggered for civil works. No longer term cumulative adverse impacts were identified.
- By appraisal ESMF prepared as detailed designs to be prepared during project implementation & ESIA prepared for Aboru/Pipeline road rehabilitation
ESIA for proposed LAMATA building.
- Environmental/social clauses to be incorporated in contracts for civil works (including HIV/AIDs prevention)
- Strong communication; project design participatory at several levels (meetings; television programs; website; customer relationship platform etc).
- Capacity building through continued support for LAMATA's environment unit

OP 4.11:

Triggered as the “earth works” in urban area have the potential of exposing chance finds.
ESMF has chance find provisions.

OP 4.12:

By appraisal

- RPF prepared as detailed designs to be prepared during project implementation
- RAP prepared for Aboru/Pipeline road rehabilitation

ESS1: Assessment & Management of E & S Risks & Impacts

| New Requirements/Approaches? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|--|--|---|--------|
| Use of Borrower's E & S System to the extent possible | Not done | Borrower may request, WB approve in whole or in part | |
| E & S Assessment | Integrated environmental and social assessment (including community health and safety) | ESIA include assessment of potential for specific community benefits; Analysis of analysis of alternatives for projects in degraded areas (see ESS3) | |
| E & S Commitment Plan | ESMF and one ESIA (EMP) completed prior to project Appraisal, includes monitoring of impacts; capacity building | Time-bound ESCP covering life of project, emphasis on monitoring and adaptive management | |
| Project Monitoring & Reporting | Requirements throughout life of project | ESCP likely with broader range of monitoring, explicit provisions for adaptive management based on monitoring results | |
| Stakeholder Engagement & Info Disclosure | Public consultations on Safeguards documents (ESIA, RAP) Various channels of communication set up by LAMATA | Preparation and implementation of Stakeholder Engagement Plan, Borrower reporting to public and WB throughout life of project | |

ESS2: Labor & Working Conditions

| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|--|---|---|--------|
| Working Conditions & Management of Worker Relationships | No explicit requirements beyond routine OHS requirements in contracts | Explicit requirements and Borrower responsibilities relating to relationship between contractors/subcontractors and workers | |
| Protecting the Work Force | No explicit requirement | Explicit requirements relating to non-discrimination; child/forced labor | |
| Grievance Mechanism | Only in RAP | For all aspects of project; separate GRM for workers | |
| Occupational Health & Safety (OHS) | General OHS | Explicit requirements for WBG Env Health & Safety Guidelines | |
| Contracted Workers | General OHS provisions in contracts | Expanded requirements in contracts; application to subcontractors; enhanced Borrower responsibility for monitoring | |
| Workers in Community Labor | No requirement | Not applicable (no community labor in project) | |
| Primary Supply Workers | No requirement | Not applicable (no ongoing primary suppliers) | |

ESS3: Resource Efficiency & Pollution Prevention & Management

| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|--|--|---|--------|
| Resource Efficiency | No explicit requirement but expectation that new buses will be energy efficient. | Explicit consideration of opportunities to enhance efficiency in energy and raw materials. | |
| Pollution Prevention & Management | ESMF/EIA: potential soil and air pollution EHS guidelines apply. | Additional strategies and measures required in degraded airshed, including analysis of alternatives (see ESS1). Unlikely to require GHG emissions accounting for non-point source emissions. | |



ESS4: Community Health & Safety

| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|--------------------------------------|--|--|--------|
| Community Health & Safety | ESMF/ESIA: potential impacts traffic accidents; construction risks; dust, noise; increased malaria due to standing water in quarries; HIV/AIDS | Consideration of other potential impacts, e.g. , Emergency preparedness and response plan, road and traffic safety, natural hazards, including extreme weather events for public infrastructure in design and construction | |
| Security Personnel | No requirement | Unlikely to be applicable as requirements related to use private and public security forces only in effect to extent of Bank implementation. | |



ESS5: Land Acquisition, Restrictions on Land Use & Involuntary Resettlement

| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|---|--|--|--------|
| General | Preparation/implementation of RPF and RAP prior to Appraisal | ESCP specifies preparation/implementation of RAP prior to land acquisition for project purposes (RPF or RAP still required prior to WB Board approval?) | |
| Displacement | RPF and RAP cover physical & economic; permanent and temporary displacement. RPF estimated affected people 2,000 mainly kiosks, tables, shop stands in ROW. | Special attention to gender related impacts. | |
| Collaboration with Other Responsible Agencies or Subnational Jurisdictions | RPF and RAP describe responsibilities of Lagos State authorities and LAMATA | ESCP might require more explicit, written agreements among parties involved in land acquisition | |
| Technical & Financial Assistance | RPF and RAP describe requirements for capacity strengthening | ESCP might specify time-bound assistance to strengthen Borrower capacity, with costs and monitoring provisions | |

ESS6: Biodiversity Conservation & Sustainable Management of Living Natural Resources

| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|-------------------|--|---|--------|
| General | Not applicable  | Not applicable  | |
| Primary Suppliers | | | |

ESS7: Indigenous Peoples

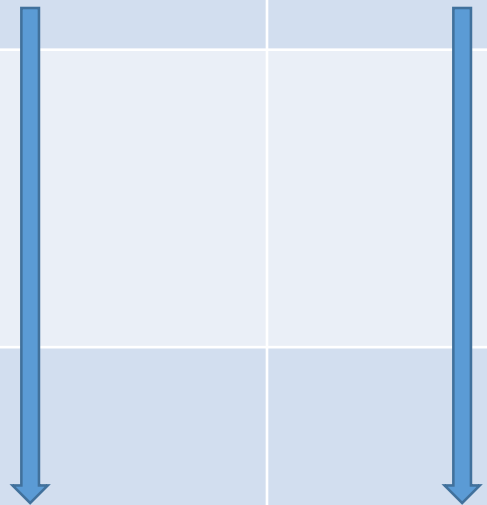
| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|--|--|--|--------|
| General | Not applicable | Not applicable | |
| Circumstances Requiring Free, Prior & Informed Consent (FPIC) |  |  | |
| Mitigation & Development Benefits | | | |
| Grievance Mechanism | | | |
| Indigenous Peoples & Broader Development Planning | | | |

ESS8: Cultural Heritage

| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|---|---|---|--------|
| General | No physical cultural heritage plans as no known areas. Movement of earth in urban area: risk of chance finds. Addressed via ESMF, ESIA and clauses in contracts for works | EIA would consider potential for impact on intangible cultural heritage (unlikely to be an issue) | |
| Stakeholder Consultation & Identification of Cultural Heritage | ESIA | Likely not applicable | |
| Legally Protected Cultural Heritage Areas | | | |
| Provisions for Specific Types of Cultural Heritage | | | |
| Commercialization of Cultural Heritage | | | |

ESS9: Financial Intermediaries

| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|---|--------------------------|--|--------|
| FI Environmental & Social Procedures | Not applicable | Not applicable | |
| Stakeholder Engagement | | | |
| Reporting to the Bank | | | |



ESS10: Stakeholder Engagement & Information Disclosure

| New Requirements? | Actual (under WB Ops) | Changes, additional effort/expense under ESSF | Value? |
|--|---|---|--------|
| Engagement during Project Preparation | Public consultations on Safeguards instruments; LAMATA communication strategy (stakeholder consultative fora etc) | Preparation of Stakeholder Engagement Plan, covering life of project ; | |
| Engagement during Project Implementation & External Reporting | Operation and disclosure of Grievance Mechanism in relation to land acquisition LAMATA customer relationship management platform | Implementation of SEP; Regular reporting to public and WB on implementation | |
| Grievance Mechanism | In RPF and RAP | Covering all aspects of project; separate GM for workers | |
| Organizational Capacity & Commitment | Capacity building elements in ESMF, ESIA | ESCP to specify detailed, time-bound implementation and associated capacity building plan | |

Operational Implications of Proposed Framework: LUTP-2

Borrower: overall low incremental change in scope of work and resources; decreasing marginal costs as capacity increases

Bank: similar low incremental change

| Environmental and Social Standard | Prospective Change in Effort/ Resources |
|---|---|
| ESS 1: Assessment and Management of Environmental and Social Risks and Impacts | Moderate |
| ESS 2: Labor and Working Conditions | Moderate to Substantial |
| ESS 3: Resource Efficiency and Pollution Prevention and Management | Low |
| ESS 4: Community Health and Safety | Low to None |
| ESS 5: Land Acquisition, Restrictions on Land Use and Involuntary Resettlement | Low to None |
| ESS 6: Biodiversity Conservation and Sustainable Management of Living Natural Resources | None |
| ESS 7: Indigenous Peoples | None |
| ESS 8: Cultural Heritage | Low to None |
| ESS 9: Financial Intermediaries | None |
| ESS 10: Stakeholder Engagement and Information Disclosure | Moderate |